

Docket No. 241959US0/hc



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Masaki TAKAI, et al.

SERIAL NO: 10/649,767

GAU:

FILED: August 28, 2003

EXAMINER:

FOR: METHOD FOR PRODUCING ALLYL COMPOUND

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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STATEMENT OF RELEVANCY

References AS through AW on Form 1449 are discussed in the specification.



SHEET OF

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 241959US0		SERIAL NO. 10/649,767	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Masaki TAKAI, et al.			
				FILING DATE August 28, 2003		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,300,515	10/09/2001	M. RETBOLL, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
	AP						
	AQ						
	AR						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AS	C. GOUX, et al., Organometallics, vol. 14, no. 10, pages 4585-4593, "STEREO- AND REGIOSELECTIVITY IN PALLADIUM-CATALYZED ALLYLIC ETHERIFICATION", 1995					
	AT	T. IKARIYA, et al., Japan Society for the Promotion of Science, the 116 th Committee Meeting, pages 46-49, "DIRECT TRANSFORMATION OF ALLYLIC ALCOHOLS CATALYZED BY PALLADIUM-PHOSPHITE COMPLEXES", 2002 (with English translation)					
	AU	T. KODA, et al., Graduate School of Science and Engineering, Tokyo Institute of Technology, CREST, vol. 79, no. 2, page 1194, "DEHYDRATIVE ETHERIFICATION OF ALLYL ALCOHOLS CATALYZED BY PALLADIUM-PHOSPHITE COMPLEX", 2001 (with English translation)					
	AV	C. THOREY, et al., Tetrahedron Letters, vol. 36, no. 31, pages 5527-5530, "DIASTEREOSELECTIVE SYNTHESIS OF VINYL MORPHOLINES BY PALLADIUM-CATALYZED TANDEM ALLYLIC SUBSTITUTIONS USING ENANTIOPURE AMINOALCOHOLS AS BIFUNCTIONAL NUCLEOPHILES", 1995					
	AW	B. M. TROST, et al., J. Am. Chem. Soc., pages 1732-1740, "TOTAL SYNTHESIS OF (±)- AND (+)- VALIENAMINE VIA A STRATEGY DERIVED FROM NEW PALLADIUM-CATALYZED REACTIONS", 1998				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							